

TO: Board of Regents  
 FROM: Deborah Kraft  
 DATE: January 9, 2015  
 SUBJECT: Investment Transactions Report  
 Report Date: November 30, 2014

Investment Position:

As of November 30, 2014, Alvin Community College had \$ 3,100,000 invested in two certificates of deposit purchased through First National Bank-Alvin, one certificate of deposit purchased through Texas First Bank, one certificate purchased through BBVA Compass Bank, one certificate of deposit purchased through Texas Advantage Bank and one certificate of deposit purchased through the CDARS program using Texas Advantage Bar

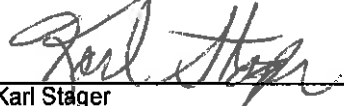
Summary:

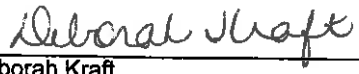
Beginning market value		9/1/2014 \$	6,200,000
Additions/changes to the market value:			
	a.	sales of CDs	(6,400,000)
	b.	purchases of CDs, value at 11/30/14	3,300,000
Ending market value		11/30/2014 \$	3,100,000
Fully accrued interest		\$	1,580

Pooled Funds:

The \$ 3,100,000 currently invested was taken from the following major fund groups:  
 \$ 3,100,000 General Fund 11

We certify that the investments made during this reporting period are in compliance with Alvin Community College's Investment Policy and the Texas Government Code.

  
 Karl Stager

  
 Deborah Kraft

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**ALVIN COMMUNITY COLLEGE**  
Investment Schedule

Below is a list of Alvin Community College's investments for the period September 1, 2014 through November 30, 2014. All securities have been purchased according to the investment policy approved by the Board of Regents at the August 28, 2014 board meeting.

CD No.	Purchase Date	Due Date	Interest Rate	Term	Days Held	Principal	Interest Earned	Accrued Interest	Total
<b>BEGINNING INVESTMENTS:</b>									
30006431	02/11/13	02/11/15	0.700%	730		250,000			250,000
6719567639	11/06/13	04/06/16	1.242%	882		250,000			250,000
520890	02/21/14	02/21/17	1.300%	1096		250,000			250,000
1016372518	02/27/14	02/23/17	1.100%	1092		250,000			250,000
200000100	07/07/14	09/02/14	0.150%	57		1,100,000			1,100,000
200000101	07/07/14	09/18/14	0.150%	73		1,100,000			1,100,000
200000105	07/21/14	10/01/14	0.135%	72		1,000,000			1,000,000
200000117	08/18/14	10/17/14	0.140%	60		1,000,000			1,000,000
200000120	08/29/14	11/03/14	0.140%	66		1,000,000			1,000,000
Subtotal for CD Investments for Beginning of the Period						\$ 6,200,000	\$ -	\$ -	\$ 6,200,000
<b>SALES:</b>									
200000100	07/07/14	09/02/14	0.150%	57		1,100,000	258		1,100,258
200000101	07/07/14	09/18/14	0.150%	73		1,100,000	330		1,100,330
200000105	07/21/14	10/01/14	0.135%	72		1,000,000	266		1,000,266
200000117	08/18/14	10/17/14	0.140%	60		1,000,000	230		1,000,230
200000120	08/29/14	11/03/14	0.140%	66		1,000,000	253		1,000,253
200000125	09/18/14	11/18/14	0.125%	61		1,200,000	251		1,200,251
Total Sales						6,400,000	1,588	0	6,401,588
<b>PURCHASES:</b>									
200000125	09/18/14	11/18/14	0.125%	61		1,200,000			1,200,000
200000127	10/01/14	12/01/14	0.125%	61		1,100,000			1,100,000
200000135	11/03/14	12/15/14	0.130%	42		1,000,000			1,000,000
Total Purchases						3,300,000	-	-	3,300,000
<b>ENDING INVESTMENTS:</b>									
30006431	02/11/13	02/11/15	0.700%	730	50	250,000		240	250,240 <sup>a</sup>
6719567639	11/06/13	04/06/16	1.242%	882	24	250,000		204	250,204 <sup>b</sup>
520890	02/21/14	02/21/17	1.300%	1096	91	250,000		810	250,810 <sup>c</sup>
1016372518	02/27/14	02/23/17	1.100%	1092	0	250,000		0	250,000 <sup>d</sup>
200000127	10/01/14	12/01/14	0.125%	61	61	1,100,000		230	1,100,230
200000135	11/03/14	12/15/14	0.130%	42	27	1,000,000		96	1,000,096
Total for End of Period for CD Investments						\$ 3,100,000	\$ -	\$ 1,580	\$ 3,101,580

<sup>a</sup> Texas First Bank

<sup>b</sup> BBVA Compass Bank

<sup>c</sup> Texas Advantage Bank

<sup>d</sup> Texas Advantage CDARS (Avenue Bank, John Marshall Bank and WesBanco Bank, Inc.)

**ALVIN COMMUNITY COLLEGE FOUNDATION**  
Investment Schedule

Below is a list of Alvin Community College Foundation's investments for the period September 1, 2014 through November 30, 2014. All securities have been purchased according to the investment policy approved by the ACC Foundation Board of Directors at the October 9, 2012 board meeting.

BEGINNING INVESTMENTS 9/1/14	\$	2,573,670
Increases In Account		
Deposits		32,300
Sales Proceeds/Redemptions		-
Dividends		10,861
Interest		3
Capital Gains		73,664
Securities Purchased		84,525
Positive Change in value of priced securities		36,138
Decreases in Account		
Fees		(5,730)
Cash Withdrawals		(37,694)
Funds Used to Purchase Securities		(84,525)
Securities sold/redeemed		-
Negative Change in value of priced securities		(116,265)
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ENDING INVESTMENTS 11/30/14	\$	<u>2,566,947</u>